

02-08-02

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02/06/02

1133
U.S. PTO

Please type plus sign inside this box + PTO/SB/05(03/01) Approved for use through 10/31/2002 OMB 0651-0032 +

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 1 53(b))

Attorney Docket No.	04645.1072
First Inventor	Biggs et al.
Title	One Piece Header Assembly For An ...
Express Mail Label No.	EF380104359US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See CFR 1.27.
3. ☒ Specification [Total Pages / 26 /]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets / 13 /]
5. ☒ Oath or Declaration [Total Pages / 3 /]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☒ Other: Checks for \$878.00 and \$40.00

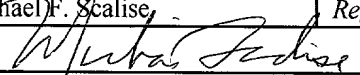
18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of the prior application No. /

Prior application information: Examiner: Group/Art Unit:
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

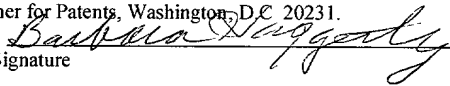
NAME		Michael F. Scalise			
		Hodgson Russ LLP			
ADDRESS		One M&T Plaza, Suite 2000			
CITY	Buffalo	STATE	New York	ZIP CODE	14203-2391
COUNTRY	United States of America	TELEPHONE	(716) 856-4000	FAX	(716) 849-0349
Name (Print/Type)	Michael F. Scalise	Registration No. (Attorney/Agent)	34,920		
Signature		Date	February 6, 2002		

"Express Mail" Mailing Label Number EF380104359US

Date of Deposit February 6, 2002

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Barbara Haggerty
Name


Signature

BFLODOCS 655612

**FEE TRANSMITTAL
for FY 2002**

Patent Fees are subject to annual revision

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT	(\$918 00)	Application Number	Filing Date
		First Named Inventor	02/06/2002
		Examiner Name	Biggs et al.
		Group/Art Unit	
		Attorney Docket Number	04645.1072

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:

Deposit Account Number: 08-2442

Deposit Account Name: Hodgeson Russ LLP

The Commissioner is hereby authorized to (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☐ Charge any additional fees during pendency of this application☐ Charge fees indicated below, except for the filing fee to the above-identified deposit account**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
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101 240 201 370 Utility filing fee \$740

106 330 206 165 Design filing fee \$

107 310 207 255 Plant filing fee \$

108 240 208 370 Reissue filing fee \$

114 160 214 80 Provisional filing fee \$

SUBTOTAL (1)

\$740

2. EXTRA CLAIM FEES FOR UTILITY/REISSUE

Extra Fee from

Claims below

Total Claims /23 / - 20** = /3 / x /18/ = \$54

Independent Claims /4 / - 3** = /1 / x /84 / = \$84

Multiple dependent / / x / / = \$

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$)

Code (\$)

Fee Description

103 18 203 9 Claims in excess of 20

102 84 202 42 Independent claims in excess of 3

104 280 204 140 Multiple dependent claim if not paid

109 84 209 42 **Reissue independent claims over original patent

110 18 210 9 **Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

\$138

SIGNATURE:

Michael F. Scalise

Reg. No 34,920

DATE: February 6, 2002 Telephone (716) 848-1258

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity

Small Entity

Fee Code

Fee (\$)

Fee Code

Fee (\$)

Fee Description

Fee Paid

105 130

205 65

Surcharge - late filing fee or oath

\$

127 50

227 25

Surcharge - late provisional filing fee or cover sheet

\$

139 130

139 130

Non-English specification

\$

147 2,520

147 2,520

For filing a request for *ex parte* reexamination

\$

112 920*

112 920*

Requesting Publication of SIR prior to Examiner Action

\$

113 1,840*

113 1,840*

Requesting Publication of SIR after Examiner Action

\$

115 110

215 55

Extension for reply within first month

\$

116 400

216 200

Extension for reply within second month

\$

117 920

217 460

Extension for reply within third month

\$

118 1,440

218 720

Extension for reply within fourth month

\$

128 1,960

228 980

Extension for reply within fifth month

\$

119 320

219 160

Notice of Appeal

\$

120 320

220 160

Filing a brief in support of an appeal

\$

121 280

221 140

Request for oral hearing

\$

138 1,510

138 1,510

Petition to institute a public use proceeding

\$

140 110

240 55

Petition to revive - unavoidable

\$

141 1,280

241 640

Petition to revive - unintentional

\$

142 1,280

242 640

10 advance copies
Utility issue fee (or reissue)

\$

143 460

243 230

Design issue fee

\$

144 620

244 310

Plant issue fee

\$

122 130

122 130

Petitions to the Commissioner

\$

123 50

123 50

Processing fee under 37 CFR 1.17(q)

\$

126 180

126 180

Submission of Information Disclosure Statement

\$

581 40

581 40

Recording each patent assignment per property (times number of properties)

\$40

146 740

246 370

Filing a submission after final rejection(37 CFR 1.129(a))

\$

149 740

249 370

For each add'l invention to be examined(37 CFR1.129(b))

\$

179 740

279 370

Request For Continued Examination (RCE)

\$

169 900

169 900

Request for Expedited Examination of a design appln

\$

Other fee (specify)

\$

*Reduced by basic filing fee paid

SUBTOTAL (3)

\$40

Express Mail Mailing Label Number EF380104359US

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Barbara Haggerty
NameBarbara Haggerty
SignatureFebruary 6, 2002
Date of Signature

BFLODOCS. 655610